

# Statistical Handbook on Research and Development of Sri Lanka 2018

National Science Foundation 47/5, Maitland Place Colombo 07 Sri Lanka www.nsf.gov.lk

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### **PREFACE**

The Statistical Brief of the National Research and Development Survey 2018 reflects the performance of Research and Development (R&D) institutes of the country in deploying resources towards R&D activities in the year 2018. In addition to the information on financial and human resources inputs, the publication also includes few output indicators that measure the results of R&D of the country. This report carries the information gathered from the State Sector R&D Institutes, Higher Education Institutes, Business Enterprises and Private Non Profit Organizations.

The National Research and Development Survey is conducted by the National Science Foundation on a regular basis meeting the international standards stipulated by the Organization for Economic Co-operation and Development (OECD) and UNESCO Institute of Statistics (UIS). Frascati Manual (2002) of OECD and Technical Paper No.11 of UIS are the two major guidelines followed in the survey. Hence, the statistics provided in this publication is internationally comparable. The R&D statistics of this publication are aimed at policy makers, planners, researchers, scientists and technologists requiring a quantitative overview of R&D activities of the country.

We wish to thank the Heads and staff members of all respondent organizations for their invaluable co-operation, which is an essential pre-requisite for the successful completion of a national effort of this nature. Finally, we wish to record our deep appreciation for the encouragement and advice given by the Board of Management of the National Science Foundation during the survey.

### Science and Technology Policy Research Division

National Science Foundation 47/5, Maitland Place Colombo 07 Sri Lanka

February 2021

### **HIGHLIGHTS-2018**

- Gross Domestic Expenditure on Research and Development (GERD) of Sri Lanka in 2018 was Rs. 18,343.92 million and it was 0.13% of GDP of the country.
- The highest expenditure on Research and Development was incurred by Business Enterprises (39.77%) followed by Government Research Institutes (35.42%), Higher Education Sector (23.46%), and Private Non-Profit Organizations (1.35%).
- Experimental Developments accounted 41.10% of GERD while Basic and Applied Research accounted 32.32% and 26.58% of GERD respectively.
- 6,250 Researchers (Head Count) were employed in domestic R&D activities and their Full-Time Equivalent value was 2,243.
- 212 patent registrations were reported in 2018 and out of them 148 patents were Non-Resident registrations.
- 1,035 of articles of Sri Lankan scientists were published in SCI Journals in 2018.

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# FINANCIAL RESOURCES FOR

RESEARCH AND DEVELOPMENT

### 1.1. Gross Domestic Expenditure on Research and Development (GERD) 2018

| Desc | ription  |           |
|------|--|-----------|
| a)   | GERD at Current Market Price (Rs. Million)             | 18,343.92 |
| b)   | GERD as a percentage of GDP (%)1                       | 0.13%     |
| c)   | GERD per Million Population (Rs. Million) <sup>2</sup> | 846.51    |
| d)   | GERD (USD Million)                                     | 112.86    |

- 1-GDP of 2018 at current market price is Rs. 14,336 billion (Annual Report 2019, Central Bank of Sri Lanka)
- 2- Mid Year Population of 2018 is 21,670,000 (Annual Report 2019, Central Bank of Sri Lnaka)

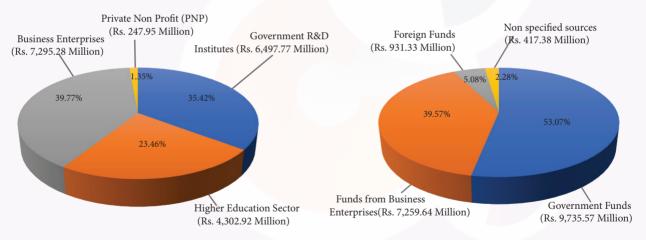


Figure 1.1. GERD by Sectors

Figure 1.2. R&D Expenditure by Source of Funds

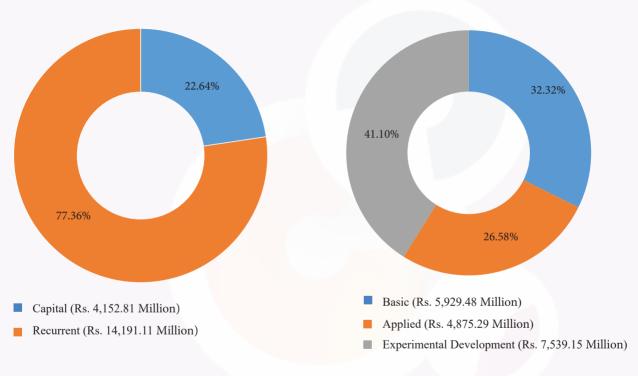


Figure 1.3. Capital and Recurrent Expenditure on R&D

Figure 1.4. GERD by Research Activities

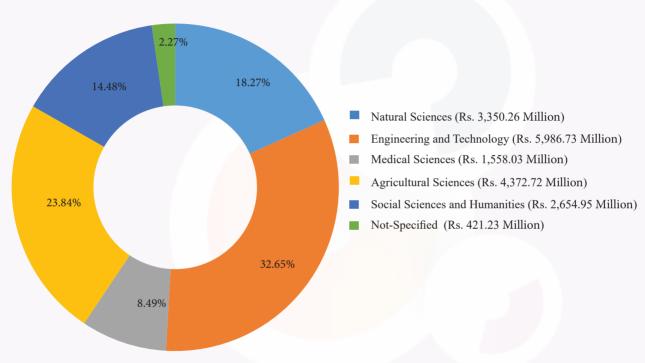


Figure 1.5. GERD by Field of Science

### 1.2. Source of Funds - Sector-wise Disaggregation

| Source of                                  | Government<br>R&D Institutes |       | Higher Education<br>Sector |       | Business<br>Enterprises |       | PNP            |       | Total          |       |
|--|------------------------------|-------|----------------------------|-------|-------------------------|-------|----------------|-------|----------------|-------|
| Fund                                       | Rs.<br>Million               | %     | Rs.<br>Million             | %     | Rs.<br>Million          | %     | Rs.<br>Million | %     | Rs.<br>Million | %     |
| Government                                 | 5,672.52                     | 87.30 | 4,031.72                   | 93.70 | 28.24                   | 0.39  | 3.09           | 1.25  | 9,735.57       | 53.07 |
| Business<br>Enterprises/<br>Private Sector | 5.98                         | 0.09  | 39.90                      | 0.93  | 7,209.79                | 98.83 | 3.98           | 1.60  | 7,259.64       | 39.57 |
| Foreign                                    | 439.51                       | 6.76  | 199.95                     | 4.64  | 51.91                   | 0.71  | 239.96         | 96.78 | 931.33         | 5.08  |
| Not-Specified                              | 379.76                       | 5.85  | 31.35                      | 0.73  | 5.34                    | 0.07  | 0.92           | 0.37  | 417.38         | 2.28  |
| Total                                      | 6,497.77                     | 100   | 4,302.92                   | 100   | 7,295.28                | 100   | 247.95         | 100   | 18,343.92      | 100   |

# Financial Resources for Research & Development

### 1.3. R&D Expenditure - Sector-wise Disaggregation

| Expenditure                       | Government R&D<br>Institutes |       | Higher Education<br>Sector |       | Business<br>Enterprises |       | PNP            |       |
|-----------------------------------|------------------------------|-------|----------------------------|-------|-------------------------|-------|----------------|-------|
| Description                       | Rs.<br>Million               | %     | Rs.<br>Million             | %     | Rs.<br>Million          | %     | Rs.<br>Million | %     |
| Capital                           | 1,795.61                     | 27.63 | 184.42                     | 4.29  | 2,169.19                | 29.73 | 3.59           | 1.45  |
| Recurrent                         | 4,702.16                     | 72.37 | 4,118.50                   | 95.71 | 5,126.09                | 70.27 | 244.36         | 98.55 |
| Basic                             | 1,227.62                     | 18.89 | 3,119.70                   | 73.60 | 1,459.20                | 20.00 | 122.95         | 89.00 |
| Applied                           | 3,011.23                     | 46.34 | 360.99                     | 7.74  | 1,379.28                | 18.91 | 123.79         | 10.66 |
| Experimental<br>Development       | 2,258.92                     | 34.76 | 822.22                     | 18.66 | 4,456.80                | 61.09 | 1.21           | 0.34  |
| Natural Sciences                  | 1,267.45                     | 19.51 | 889.46                     | 21.07 | 1,188.05                | 16.29 | 5.30           | 2.14  |
| Engineering and Technology        | 993.57                       | 15.29 | 601.74                     | 13.16 | 4,391.42                | 60.20 | -              | -     |
| Medical Sciences                  | 446.86                       | 6.88  | 879.1                      | 20.45 | 231.33                  | 3.17  | 0.74           | 0.30  |
| Agricultural Sciences             | 2,836.17                     | 43.65 | 504.47                     | 11.69 | 923.02                  | 12.65 | 109.07         | 43.99 |
| Social Sciences and<br>Humanities | 823.29                       | 12.67 | 1,428.15                   | 33.63 | 286.48                  | 3.93  | 117.04         | 47.20 |
| Not-Specified                     | 130.44                       | 2.01  | -                          | -     | 274.99                  | 3.77  | 15.79          | 6.37  |

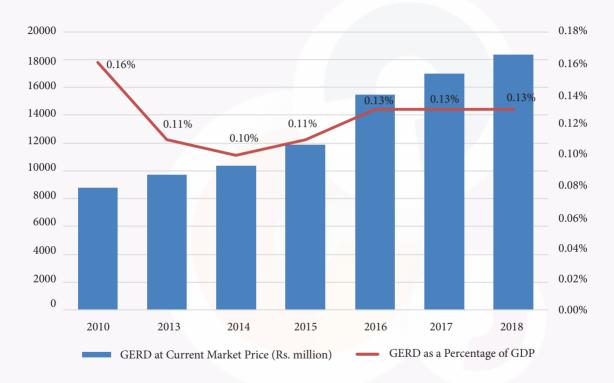


Figure 1.6. Time Trend of GERD (2010-2018)

### 1.4. Time Trend - Capital and Recurrent Expenditure on R&D

| Nature of Expenditure | 2014      | 2015      | 2016      | 2017      | 2018      |
|-----------------------|-----------|-----------|-----------|-----------|-----------|
| Recurrent             | 8,851.04  | 10,453.60 | 13,290.64 | 13,854.42 | 14,191.11 |
| Capital               | 1,499.04  | 1,450.50  | 2,128.66  | 3,148.92  | 4,152.81  |
| Total                 | 10,350.08 | 11,904.10 | 15,419.30 | 17,003.34 | 18,343.92 |



Figure 1.7. Time Trend of Capital and Recurrent R&D Expenditure

Source: National R&D Surveys of Sri Lanka, 2014, 2015, 2016, 2017 & 2018 (NSF)

### 1.5. Time Trend - GERD by Research Activities

| Rs   | M   | [i] | lion |
|------|-----|-----|------|
| 1/3. | TAT | ш   | поп  |

| Research Type            | 2014      | 2015      | 2016      | 2017      | 2018      |
|--------------------------|-----------|-----------|-----------|-----------|-----------|
| Basic                    | 1,578.47  | 1,668.80  | 2,649.30  | 4,559.02  | 5,929.48  |
| Applied                  | 5,938.05  | 6,648.20  | 7,036.96  | 8,559.34  | 4,875.29  |
| Experimental Development | 2,833.56  | 3,587.10  | 5,733.04  | 3,884.98  | 7,539.15  |
| Total                    | 10,350.08 | 11,904.10 | 15,419.30 | 17,003.34 | 18,343.92 |

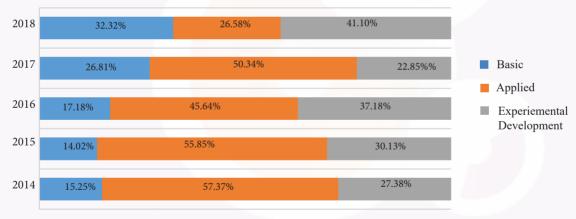


Figure 1.8. Time Trend - GERD by Research Activities

Source: National R&D Surveys of Sri Lanka, 2014, 2015, 2016, 2017 & 2018 (NSF)

### 1.6. Time Trend - GERD by Field of Science

Rs. Million

| Discipline                     | 2014      | 2015      | 2016      | 2017      | 2018      |
|--------------------------------|-----------|-----------|-----------|-----------|-----------|
| Natural Sciences               | 2,666.19  | 3,170.30  | 3,020.67  | 3,060.19  | 3,350.26  |
| Engineering and Technology     | 2,447.55  | 2,991.80  | 4,913.90  | 3,432.84  | 5,986.73  |
| Medical Sciences               | 371.85    | 1,019.10  | 930.77    | 1,588.50  | 1,558.03  |
| Agricultural Sciences          | 4,077.77  | 3,746.10  | 4,349.42  | 6,080.86  | 4,372.72  |
| Social Sciences and Humanities | 603.85    | 647.80    | 1,390.84  | 1,561.81  | 2,654.95  |
| Not Specified                  | 182.87    | 329.00    | 813.70    | 1,279.14  | 421.23    |
| Total                          | 10,350.08 | 11,904.10 | 15,419.30 | 17,003.34 | 18,343.92 |

Source: National RDI Surveys Sri Lanka, 2014, 2015, 2016, 2017 & 2018 (NSF)

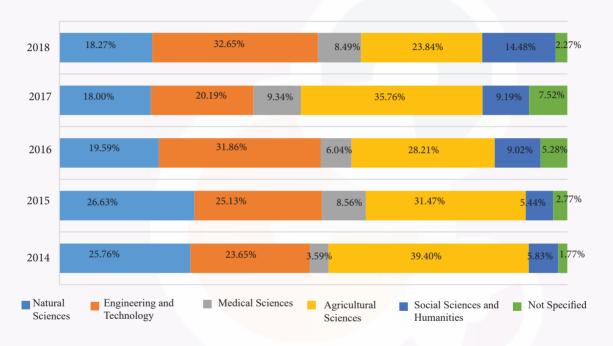


Figure 1.9. Time Trend - GERD by Field of Science

Source: National RDI Surveys Sri Lanka, 2014, 2015, 2016, 2017 & 2018 (NSF)

# HUMAN RESOURCES IN RESEARCH AND DEVELOPMENT

### 2.1. Researchers and Technicians Employed in Research and Development 2018

| Desc | ription   |        |
|------|---|--------|
| a)   | Head Count of Researchers (Number)                                  | 6,250  |
| b)   | Head Count of Technicians (Number)                                  | 4,023  |
| c)   | Human Resource for R&D (Researchers and Technicians)                | 10,273 |
| d)   | No of Technicians per Researcher                                    | 0.64   |
| e)   | Researchers per million population*                                 | 288.42 |
| f)   | Full time Equivalent of Researchers                                 | 2,242  |
| g)   | Researchers per million population (in full-time equivalent - FTE)* | 103.47 |
| h)   | Number of Research Students   | 7,014  |

<sup>\*</sup> Mid Year Population of 2018 is 21,670,000 (Annual Report 2018, Central Bank of Sri Lanka)

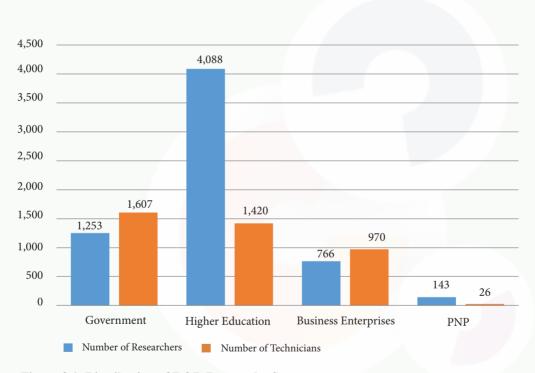


Figure 2.1. Distribution of R&D Persons by Sector

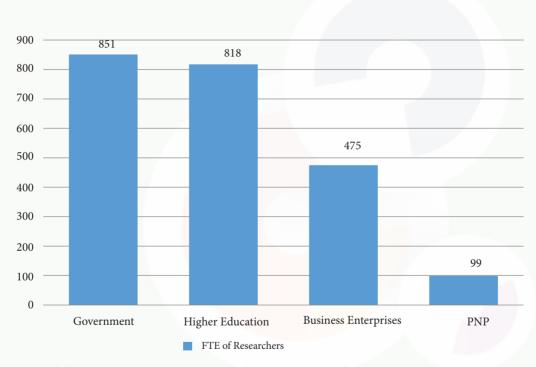


Figure 2.2. Full-time Equivalent (FTE) of Researchers by Sector

### 2.2. Researchers by Different Disciplines

| Discipline                     | Male  | Female | Total |
|--------------------------------|-------|--------|-------|
| Natural Sciences               | 804   | 658    | 1,462 |
| Agricultural Sciences          | 790   | 380    | 1,170 |
| Engineering and Technology     | 679   | 449    | 1,128 |
| Medical Sciences               | 659   | 813    | 1,472 |
| Social Sciences and Humanities | 434   | 424    | 858   |
| Not Specified                  | 103   | 57     | 160   |
| Total                          | 3,469 | 2,781  | 6,250 |

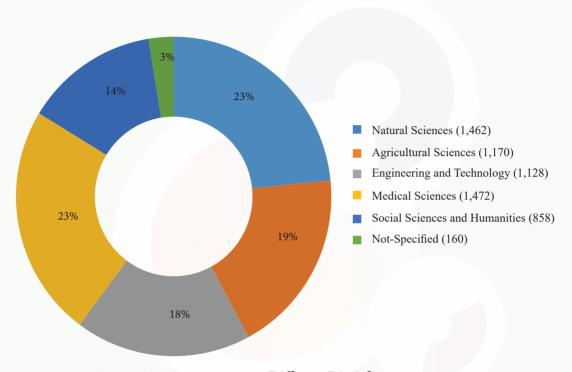


Figure 2.3. Distribution of Researchers by Different Disciplines

### 2.3. Researchers by Educational Qualifications

| •   |       |                           |       |
|---|-------|---------------------------|-------|
| Educational Qualifications                                    | Male  | Female                    | Total |
| Doctoral or Equivalent  | 1,249 | 833                       | 2,082 |
| MPhil   | 241   | 229                       | 470   |
| Masters or Equivalent   | 1,058 | 934                       | 1,992 |
| Bachelors + Postgraduate Diploma (PGD)                        | 186   | 93                        | 279   |
| Bachelors or Equivalent                                       | 627   | 650                       | 1,277 |
| Not Specified   | 108   | 42                        | 150   |
| Total   | 3,469 | 2,781                     | 6,250 |
| 70% 67% 67% 53%   | 51%   | 60%                       | Mal   |
| 40% 49% 47%<br>30% 33%<br>10%                                 | 49%   | 40%                       | Fem   |
| Bachelors or Bachelors + Masters or Equivalent PGD Equivalent | Mphil | Doctoral or<br>Equivalent |       |

Figure 2.4. Researchers by Educational Qualifications and Gender

# **Human Resources in Research and Development**

2.4. Researchers by (Head Count) Age and Gender

|            | our circio | o) (lieuu coui | it) rige and dende | •              |
|------------|------------|----------------|--------------------|----------------|
| Age Gr     | oup        | Male           | Female             | Total          |
| 21 - 30    |            | 439            | 405                | 844            |
| 31 - 40    |            | 984            | 1,037              | 2,021          |
| 41 - 50    |            | 1,067          | 745                | 1,812          |
| 51 - 60    |            | 805            | 500                | 1,305          |
| Above 60   | )          | 174            | 94                 | 268            |
| Total      |            | 3,469          | 2,781              | 6,250          |
| 70%<br>60% |            |                | 59%                | 65%            |
| 50%        | 52%        | 51%            |                    |                |
| 40% —      | 48%        | 49%            | 41%                | 38% 250/       |
| 30% —      |            |                |                    | 35%            |
| 10%        |            |                |                    |                |
| 0% —       | 21-30      | 31-40          | 41-50              | 51-60 Above 60 |

Fiure 2.5. Age and Gender-wise Propotion of Researchers

### 2.5. R&D Persons - Sector-wise Disaggregation

| Description                               | Government |     | Higher<br>Education |       | Business<br>Enterprises |     | PNP |    | Total |       |       |
|---|------------|-----|---------------------|-------|-------------------------|-----|-----|----|-------|-------|-------|
| •   | M          | F   | M                   | F     | M                       | F   | M   | F  | M     | F     | Total |
| Head Count of Researchers                 | 631        | 622 | 2,144               | 1,944 | 605                     | 161 | 89  | 54 | 3,469 | 2,781 | 6,250 |
| Head Count of Technicians                 | 818        | 789 | 910                 | 510   | 701                     | 269 | 5   | 21 | 2,434 | 1,589 | 4,023 |
| Full-time Equivalent (FTE) of Researchers | 429        | 422 | 429                 | 389   | 375                     | 100 | 61  | 37 | 1,294 | 948   | 2,242 |
| Researchers by different disciplin        | es         |     |                     |       |                         |     |     |    |       |       |       |
| Natural Sciences                          | 134        | 134 | 520                 | 459   | 110                     | 45  | 40  | 20 | 804   | 658   | 1,462 |
| Agricultural Sciences                     | 160        | 73  | 271                 | 248   | 356                     | 59  | 3   | 0  | 790   | 380   | 1,170 |
| Engineering and Technology                | 40         | 82  | 583                 | 339   | 56                      | 28  | 0   | 0  | 679   | 449   | 1,128 |
| Medical Sciences                          | 227        | 243 | 420                 | 568   | 4                       | 0   | 8   | 2  | 659   | 813   | 1,472 |
| Social Sciences and Humanities            | 51         | 72  | 348                 | 329   | 7                       | 0   | 28  | 23 | 434   | 424   | 858   |
| Not Specified                             | 19         | 18  | 2                   | 1     | 72                      | 29  | 10  | 9  | 103   | 57    | 160   |
| Total                                     | 631        | 622 | 2,144               | 1,944 | 605                     | 161 | 89  | 54 | 3,469 | 2,781 | 6,250 |

## **Human Resources in Research and Development**

2.6. R&D Persons - Sector-wise Disaggregation

| Description             | Gove | Government |       | Higher<br>Education |     | Business<br>Enterprises |    | PNP |       | tal   |       |
|-------------------------|------|------------|-------|---------------------|-----|-------------------------|----|-----|-------|-------|-------|
|                         | M    | F          | M     | F                   | M   | F                       | M  | F   | M     | F     | Total |
| Doctoral or Equivalent  | 87   | 74         | 1,096 | 736                 | 21  | 2                       | 45 | 21  | 1,249 | 833   | 2,082 |
| Mphil                   | 40   | 41         | 185   | 186                 | 12  | 1                       | 4  | 1   | 241   | 229   | 470   |
| Masters or Equivalent   | 262  | 260        | 600   | 605                 | 169 | 50                      | 27 | 19  | 1,058 | 934   | 1,992 |
| Bachelors + PGD         | 73   | 47         | 8     | 11                  | 95  | 26                      | 10 | 9   | 186   | 93    | 279   |
| Bachelors or Equivalent | 158  | 190        | 245   | 396                 | 224 | 62                      | 0  | 2   | 627   | 650   | 1,277 |
| Not Specified           | 11   | 10         | 10    | 10                  | 84  | 20                      | 3  | 2   | 108   | 42    | 150   |
| Total                   | 631  | 622        | 2,144 | 1,944               | 605 | 161                     | 89 | 54  | 3,469 | 2,781 | 6,250 |
| Researchers by Age      |      |            |       |                     |     |                         |    |     |       |       |       |
| 21 - 30                 | 83   | 77         | 144   | 230                 | 201 | 87                      | 11 | 11  | 439   | 405   | 844   |
| 31 - 40                 | 181  | 237        | 557   | 735                 | 221 | 46                      | 25 | 19  | 984   | 1,037 | 2,021 |
| 41 - 50                 | 198  | 197        | 729   | 517                 | 115 | 16                      | 25 | 15  | 1,067 | 745   | 1,812 |
| 51 - 60                 | 161  | 109        | 556   | 376                 | 67  | 9                       | 21 | 6   | 805   | 500   | 1,305 |
| Above 60                | 8    | 2          | 158   | 86                  | 1   | 3                       | 7  | 3   | 174   | 94    | 268   |
| Total                   | 631  | 622        | 2,144 | 1,944               | 605 | 161                     | 89 | 54  | 3,469 | 2,781 | 6,250 |

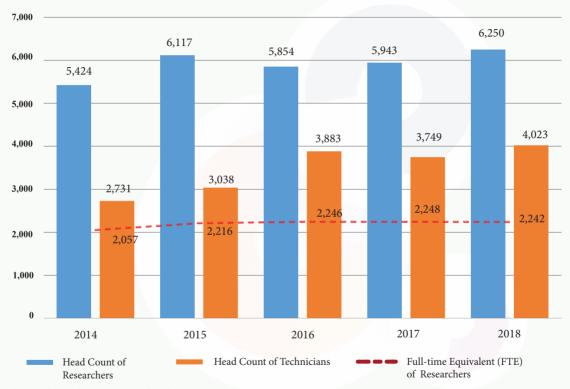


Figure 2.5. Time Trend of R&D Persons

### 2.7. Researchers by Different Disciplines (2014-2018)

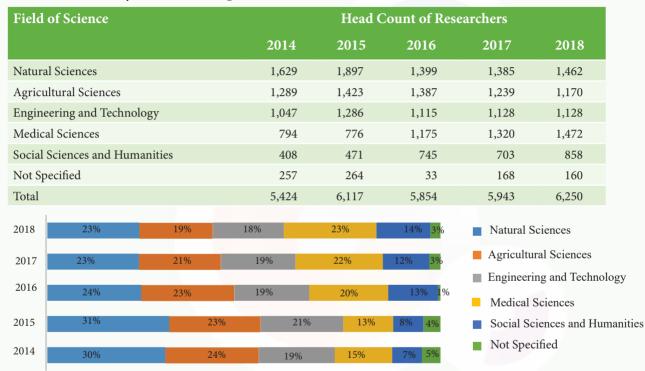


Figure 2.6. Researchers by Different Disciplines - Time Trend

### 2.8. Time Trend of Researchers by Educational Qualifications

| Educa   | tional Qualific   | cations |       | Nun   | ıber of Resear       | chers        |               |
|---------|-------------------|---------|-------|-------|----------------------|--------------|---------------|
|         |                   |         | 2014  | 2015  | 2016                 | 2017         | 2018          |
| Doctor  | al or Equivalent  |         | 899   | 944   | 1,898                | 1,971        | 2,082         |
| MPhil   |                   |         | 237   | 266   | 409                  | 426          | 470           |
| Master  | s or Equivalent   |         | 863   | 1,249 | 1,842                | 1,955        | 1,992         |
| Bachelo | ors + PGD         |         | 1,365 | 1,656 | 234                  | 244          | 279           |
| Bachelo | ors or Equivalent |         | 1,945 | 1,727 | 1,349                | 1,236        | 1,277         |
| Not Sp  | ecified           |         | 115   | 275   | 122                  | 111          | 150           |
| Total   |                   |         | 5,424 | 6,117 | 5,854                | 5,943        | 6,250         |
|         | 220/              | 00/     | 220/  | 50/   | 200/                 | Doctoral o   | or Equivalent |
| 2018    | 33%               | 8%      | 32%   | 5%    | 20% <mark>2%</mark>  | MPhil        | 1             |
| 2017    | 33%               | 7%      | 33%   | 4%    | 21% <mark>2</mark> % | ■ Masters or | Equivalent    |
| 2016    | 32%               | 7%      | 32%   | 4%    | 23% <mark>2</mark> % | Bachelors    |               |
| 2015    | 16% 4%            | 20%     | 27%   | 28    | 3% 5%                | Bachelors    | or Equivalent |

36%

Figure 2.7. Researchers by Eduational Qualifications - Time Trend

25%

16%

Source: National R&D Survey of Sri Lanka, 2018 (NSF)

17%

2014

■ Not Specified

# **Human Resources in Research and Development**

## 2.9. Time Trend of Researchers by Age

| Age G   | Age Group Number of Researchers |     |       |       |        |       |       |
|---------|---------------------------------|-----|-------|-------|--------|-------|-------|
|         |                                 |     | 2014  | 2015  | 2016   | 2017  | 2018  |
| 21 - 30 |                                 |     | 737   | 903   | 676    | 776   | 844   |
| 31 - 40 |                                 |     | 1,107 | 1,143 | 1,871  | 1,907 | 2,021 |
| 41 - 50 |                                 |     | 1,170 | 1,686 | 1,737  | 1,734 | 1,812 |
| 51 - 60 |                                 |     | 606   | 1,323 | 1,267  | 1,266 | 1,305 |
| Above 6 | 60                              |     | 163   | 755   | 303    | 260   | 268   |
| Not Spe | cified                          |     | 1,641 | 307   | -      | -     | -     |
| Total   |                                 |     | 5,424 | 6,117 | 5,854  | 5,943 | 6,250 |
|         |                                 |     |       |       |        |       |       |
| 2018    | 14%                             | 32% | 29%   |       | 21% 4% | 21-3  | 0     |
|         |                                 |     |       |       |        | 31-4  | .0    |
| 2017    | 13%                             | 33% | 29%   |       | 21% 4% | 41-5  | 60    |
|         |                                 |     |       |       |        | 51-6  | 50    |
| 2016    | 11%                             | 32% | 30%   |       | 22% 5% | Abo   | ve 60 |

Figure 2.8. Time Trend of Researchers by Age

### 2.10. Number of Research Students in Universities 2018

| Student Category           | Male  | Female | Total |
|----------------------------|-------|--------|-------|
| PhD Research<br>Students   | 658   | 456    | 1,114 |
| MPhil Research<br>Students | 1,486 | 1,260  | 2,746 |
| Total Research<br>Students | 2,144 | 1,716  | 3,860 |

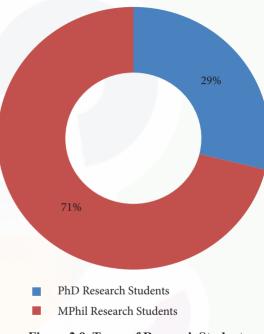


Figure 2.9. Types of Research Students

### 2.11. Research Students by Different Disciplines

| Field of Science               | Male  | Female | Total |
|--------------------------------|-------|--------|-------|
| Natural Sciences               | 328   | 419    | 747   |
| Agricultural Sciences          | 128   | 184    | 312   |
| Engineering and Technology     | 205   | 140    | 345   |
| Medical Sciences               | 104   | 47     | 151   |
| Social Sciences and Humanities | 1,436 | 869    | 2,305 |
| Total                          | 1,716 | 2,144  | 3,860 |

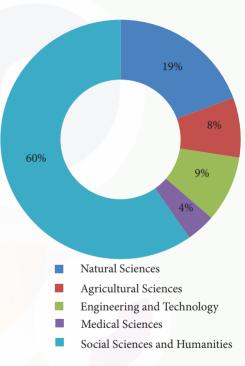


Figure 2.10. Research Students by Different Disciplines

# PERFORMANCE & OUTPUT INDICATORS OF RESEARCH AND DEVELOPMENT

### 3.1. Patents, Industrial Designs and SCI Journal Publications in 2018

| Desc | ription   |       |
|------|---|-------|
| A)   | Number of Patent Registrations (Resident)               | 64    |
| B)   | Number of Patent Registrations (Non-Resident)           | 148   |
| C)   | Total Number of Patent Registrations (a+b)              | 212   |
| D)   | Number of Industrial Designs Awarded (Resident)         | 85    |
| E)   | Number of Industrial Designs Awarded (Non-Resident)     | 38    |
| F)   | Total Number of Industrial Designs Awarded (d+e)        | 123   |
| G)   | Publications by Sri Lankan Scientists in SCI Journals** | 1,035 |

Source: National Intellectual Property Office (NIPO), Sri Lanka

<sup>\*\*</sup>Adopted from the Scopus (extended) and Science Citation Index (SCI)

# 3.2. Patent Registrations according to International Patent Classification (IPC)

|               | Description   |     |  |  |  |  |
|---------------|---|-----|--|--|--|--|
| Section       | ction IPC Category                                    |     |  |  |  |  |
| A             | Human Necessities                                     | 42  |  |  |  |  |
| В             | Performing Operations,<br>Transporting                | 4   |  |  |  |  |
| С             | Chemistry, Metallurgy                                 | 45  |  |  |  |  |
| D             | Textiles, Paper                                       | 5   |  |  |  |  |
| E             | Fixed Constructions                                   | 4   |  |  |  |  |
| F             | Mechanical Engineering,<br>Lighting, Heating, Weapons | 7   |  |  |  |  |
| Н             | Electricity   | 4   |  |  |  |  |
| Not Specified |   | 101 |  |  |  |  |
| Total         |   | 212 |  |  |  |  |



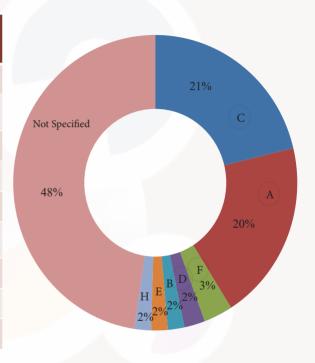


Figure 3.1. Major Patent Types

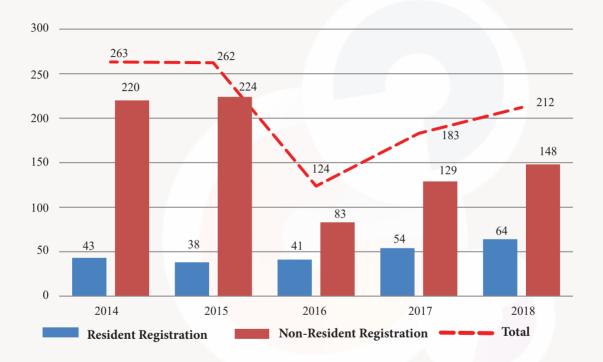


Figure 3.2. Time Trend of Paptent Registrations

# 3.3. Industrial Designs according to Locarno Classification

|          | Number of   |                    |
|----------|---|--------------------|
| Class    | Category of Locarno Classification  | Industrial Designs |
| 2        | Articles of clothing and haberdashery   | 2                  |
| 3        | Travel goods, cases, parasols and personal belongings, not elsewhere specified                          | 1                  |
| 6        | Furnishing  | 13                 |
| 7        | Household goods, not elsewhere specified  | 11                 |
| 9        | Packages and containers for the transport or handling of goods  | 26                 |
| 11       | Articles of adornment   | 1                  |
| 12       | Means of transport or hoisting  | 17                 |
| 13       | Equipment for production, distribution or transformation of electricity                                 | 2                  |
| 15       | Machines, not elsewhere specified   | 9                  |
| 20       | Sales and advertising equipment, signs  | 1                  |
| 21       | Games, toys, tents and sports goods   | 19                 |
| 22       | Arms, pyrotechnic articles, articles for hunting, fishing and pest killing                              | 2                  |
| 23       | Fluid distribution equipment, sanitary, heating, ventilation and air-conditioning equipment, solid fuel | 5                  |
| 25       | Building units and construction elements  | 12                 |
| Not Spec | ified   | 2                  |
| Total    |   | 123                |

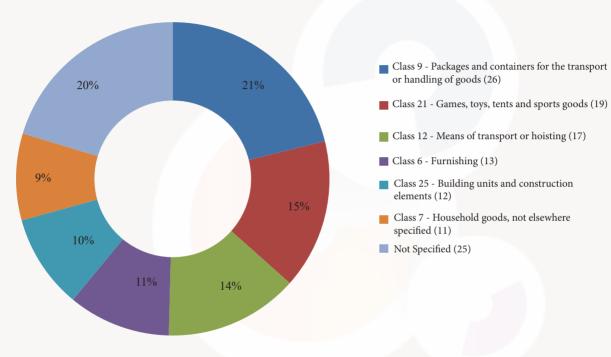


Figure 3.3. Major Industrial Design Types

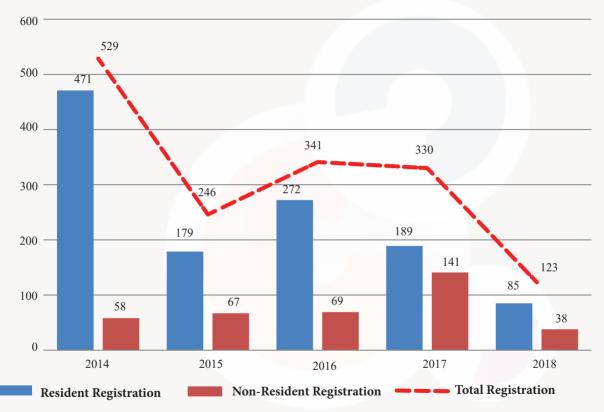


Figure 3.4. Time Trend of Industrial Designs Registration

### 3.4. Sector-wise Innovations

|         | Innovation Type  | Government<br>R&D Institutes | Business<br>Enterprises | Higher<br>Education Sector | Total     |
|---------|--|------------------------------|-------------------------|----------------------------|-----------|
| a       | Development of New Products/Services/<br>Processes             | 97                           | 1,499                   | 42                         | 1,638     |
| b       | Existing Products/Services/Processes<br>Significantly Improved | 86                           | 2,645                   | 15                         | 2,746     |
| с       | New Plant Varieties/Hybrids Developed                          | 24                           | 75                      | 1                          | 100       |
| d       | Import Substitutes Developed                                   | 18                           | 189                     | 3                          | 210       |
| e       | Designs/Prototypes Developed                                   | 21                           | 284                     | 14                         | 319       |
|         | Development of New Products/Services/Processe                  | 6%                           | 91%                     |                            | 3%        |
| Existin | ng Products/Services/Processes Significantly Improve           | d 3%                         | 96%                     |                            | 1%        |
|         | New Plant Varieties/Hybrids Develope                           | ed 24%                       | 75%                     |                            | 1%        |
|         | Import Substitutes Develope                                    | 9%                           | 90%                     |                            | 1%        |
|         | Designs/Prototypes Develope                                    | <sup>7</sup> %               | 89%                     |                            | 4%        |
|         | Government R&D Institutes                                      | s Busine                     | ss Enterprises          | Higher Educati             | on Sector |

Figure 3.5. Sector-wise Contribution to Innovation

Source: National Research and Development Survey of Sri Lanka 2018

### 3.5. Commercialization of Innovations by Sectors

|   | Innovation Type   | Government<br>R&D Institutes | Business<br>Enterprises | Higher<br>Education Sector | Total |
|---|---|------------------------------|-------------------------|----------------------------|-------|
| a | Commercialization of New Products/Services/<br>Processes              | 32                           | 1,058                   | 16                         | 1,106 |
| ь | Commercialization of Improved Existing<br>Products/Services/Processes | 26                           | 1,252                   | 11                         | 1,289 |
| с | Commercialization of New Plant Varieties/<br>Hybrids                  | 42                           | 39                      | 0                          | 81    |
| d | Commercialization of Import Substitutes                               | 4                            | 63                      | 4                          | 71    |
| e | Commercialization of Designs/Prototypes                               | 10                           | 94                      | 1                          | 105   |

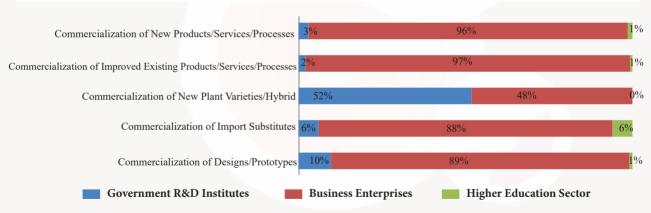


Figure 3.6. Sector-wise Innovation Commercialization

Source: National Research and Development Survey of Sri Lanka 2018

# Performance & Output Indicators of Research and Development

## 3.6. Publications of Sri Lankan Scientists in SCI Journals

| T:-14 -f C-:                   | Total Number    | With Foreign Co | o-authorship |  |
|--------------------------------|-----------------|-----------------|--------------|--|
| Field of Science               | of Publications | Number          | %            |  |
| Natural Sciences               | 319             | 216             | 68%          |  |
| Engineering and Technology     | 227             | 176             | 78%          |  |
| Medical Sciences               | 319             | 205             | 64%          |  |
| Agricultural Sciences          | 105             | 73              | 70%          |  |
| Social Sciences and Humanities | 65              | 42              | 65%          |  |
| Total                          | 1,035           | 712             | 69%          |  |

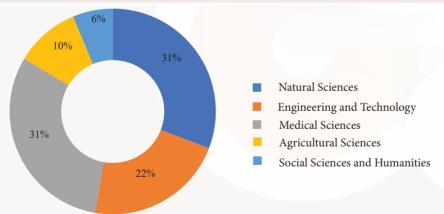


Figure 3.7. SCI Journal Publications of Sri Lankan Authors by Field of Science

Source: Adopted from the Scopus (extended) and Science Citation Index (SCI)

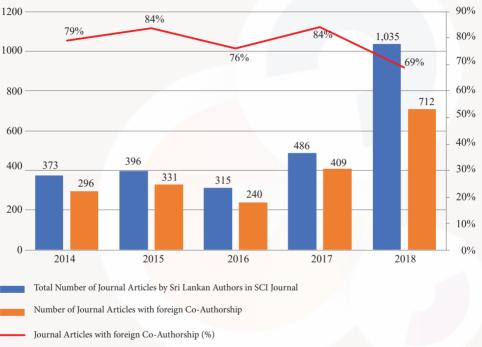


Figure 3.8. SCI Journal Publications - Time Trend

Source: Adopted from the Scopus and Science Citation Index

<sup>\*</sup> Figures on 2018 are based on Scopus (extended)

# 3.7. Publications of Sri Lankan Scientists in SCI Journals by Field of Science (2014-2018)

| Field of Science               | 2               | 014                                      | 2015            |  | 2016 |  | 2017            |  | 2018  |  |
|--------------------------------|-----------------|--|-----------------|--|------|--|-----------------|--|-------|--|
|                                | Total<br>Number | With<br>foreign Co-<br>authorship<br>(%) | Total<br>Number | With<br>foreign Co-<br>authorship<br>(%) |      | With<br>foreign Co-<br>authorship<br>(%) | Total<br>Number | With<br>foreign Co-<br>authorship<br>(%) |       | With<br>foreign Co-<br>authorship<br>(%) |
| Natural<br>Sciences            | 95              | 79                                       | 102             | 84                                       | 120  | 88                                       | 167             | 92                                       | 319   | 68                                       |
| Engineering and Technology     | 80              | 86                                       | 76              | 80                                       | 52   | 71                                       | 95              | 81                                       | 227   | 78                                       |
| Medical<br>Sciences            | 118             | 69                                       | 126             | 84                                       | 92   | 71                                       | 126             | 79                                       | 319   | 64                                       |
| Agricultural<br>Sciences       | 71              | 93                                       | 80              | 89                                       | 46   | 65                                       | 79              | 76                                       | 105   | 70                                       |
| Social Sciences and Humanities | 9               | 56                                       | 12              | 58                                       | 5    | 40                                       | 19              | 100                                      | 65    | 65                                       |
| Total                          | 373             | 79                                       | 396             | 84                                       | 315  | 76                                       | 486             | 84                                       | 1,035 | 69                                       |

Source: Adopted from Scopus and Science Citation Index

<sup>\*</sup> Figures of 2018 are based on Scopus (extended)

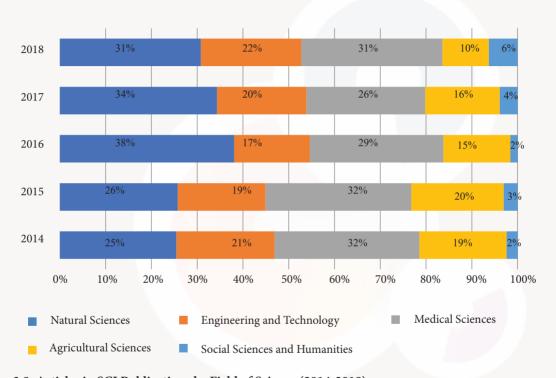


Figure 3.9. Articles in SCI Publications by Field of Science (2014-2018)

Source: Adopted from Scopus and Science Citation Index

<sup>\*</sup> Figures of 2018 are based on Scopus (extended)

#### **DEFINITIONS AND TECHNICAL NOTES**

The definitions and terminology used in the National R&D Survey 2018 and in this Statistical Hand Book are based on the guidelines provided by UNESCO and OECD.

## 1. Research and Development (R&D)

R&D comprises creative work undertaken on a systematic basis in order to increase the stock of knowledge including the knowledge of humanity, culture and society, and the use of this stock of knowledge to device new applications.

The term R&D covers three activities:

- a) Basic research: The experimental or theoretical work undertaken primarily to acquire new knowledge of the underlying foundations, phenomena and observed facts, without any particular application or use in view.
- b) Applied research: The original investigations undertaken in order to acquire new knowledge. However, it is directed primarily towards a specific practical aim or objective.
- c) Experimental development: The systematic work, drawing on existing knowledge gained from research and practical experience that is directed to produce new materials, products and devices; to install new processes, systems and services; or to improve substantially those already produced or installed.

#### 2. Sectors

This survey covers four major institutional categories that conduct Research & Development:

- i. Government Organizations that conduct R&D Full coverage.
- ii. Higher Education Institutes All State Universities under University Grant Commission .
- iii. Business Enterprises 166 institutions were selected for the survey considering degree of their R&D activity and proportion of their contribution to national economy. All major industries that conduct R&D were included in the sample
- iv. Private Non Profit Institutions (PNP) All institutions that were involved in the activities related to R&D were covered in the survey

### 3. R&D Expenditure

All expenditure for R&D performed within a sector of the economy, including:

- a) Reccurent expenditure (labor cost, non-capital purchases of materials, supplies for R&D equipment, water, fuel, gas, electricity, library materials etc.).
- b) Capital expenditure (reported in full for the period when they took place and should not register as element of depreciation).

### 4. Human Resources in Research and Development

Researchers: Professionals engaged in the conception or creation of new knowledge, products, processes, methods, systems and also in the management of the projects concerned (OECD, 2002).

**Technicians and equivalent staff:** Persons whose main tasks require technical knowledge and experience in one or more fields of engineering, physical and life sciences (technicians) or social sciences and humanities (equivalent staff). They participate in R&D by performing scientific and technical tasks involving the application of concepts and operational methods, normally under the supervision of researchers (OECD, 2002).

**Head count :** Reflects the total number of persons employed in R&D, independently from their dedication. This figure is used for analyzing the characteristics of the R&D workforce, with respect to age, gender, research specialization, etc.

Full Time Equivalent (FTE): One person per year. (e.g. If a person normally spends 30% of his/her time on R&D and the rest on other activities such as teaching, administration and counseling, the FTE is then counted as 0.3. Similarly, if a full time R&D worker is employed at an R&D unit for only a six month period, the FTE is calculated as 0.5).

### Reference:

OECD. (2002). Frascati Manual: Proposed Standard Practice for Surveys on Research and Experimental Development. Paris, France: OECD Publications Service.

UNESCO. (2014). TECHNICAL PAPER NO. 11. Guide to Conducting an R&D Survey: For countries starting to measure research and experimental development. Montreal, Quebec, Canada: UNESCO Institute for Statistics.